

PEER REVIEW COMPLIANCE REPORTING FORM

In accordance with Board Rule 4701-13-11, *Reporting submission of peer review reports*, The Accountancy Board of Ohio requires information on the most recent peer review of your firm. Complete this form as indicated, sign the form, attach the required documents and mail to the address listed above or email to Kelly Kelly at: Kellyann.kelly@acc.ohio.gov.

Name of Firm: _____ Firm ID number _____
Name of Chief Executive Officer: _____ Licensee ID number _____
Firm address: _____ Federal EIN# _____
Phone: _____ Email: _____

PROVIDE INFORMATION FROM THE PEER REVIEW REPORT

_____ System Review

_____ Engagement Review

Completion Date of Review Report: _____ Current Review Year Covered: _____

Does the firm perform: _____ Audits _____ Attestation Engagements
_____ Compilations _____ Reviews
_____ Yellow Book Audits _____ ERISA Audits
_____ FDIC _____ Audit Carrying Broker Deal
_____ SOC 1&2

List other services the firm performs for clients: _____

MANDATORY ATTACHMENTS: CHECK THE DOCUMENTS THAT ARE ATTACHED

PASS

_____ Peer Review Report
_____ Final Letter of Acceptance

PASS WITH DEFICIENCIES

_____ Peer Review Report
_____ Corrective Action Letter
_____ Was corrective action required?
_____ Date corrective action to be completed by
_____ Letter of Response
_____ Final Letter of Acceptance

FAILED REVIEW

_____ Peer Review Report
_____ Corrective Action Letter
_____ Date corrective action to be completed: _____
_____ Deadline for corrective action was: _____
_____ Letter of Response
_____ Final Letter

Officer, resident manager, or sole proprietor must sign below to certify the accuracy of the information.

Signature

Date