

**Bradley Stephenson / Stephenson and Company Inc., CPAs – Disciplinary Hearing (Agenda item 3A)**

**Charges:** Violation of section 4701.16(A)(9) and (11) of the Revised Code, concerning failure of a public accounting firm to obtain a firm registration and failure to comply with 4701.04 of the Revised Code concerning firm registration requirements.

**Disciplinary Manual Section:** (1)

**Case Background:** Attest firm registration expired October 31, 2016. On November 28, 2016, the firm was ordered to cease & desist holding out/practicing as a CPA firm and to be in compliance with all firm registration requirements by February 1, 2017. Firm is holding out per field call on May 24, 2016; however, the peer review requirement has not been met.

**Hearing Notice:** Mailed on March 29, 2017

**Scheduling Notice:** Mailed on May 2, 2017

Hearing began at: _____	Present? Y / N	Individual _____	Firm _____
Decision: Revoke _____	Stay _____	Reinstate _____	Fine \$ _____ + LF/Penalty
CPE/PSR _____	Other _____	Due by: _____	
Motion: _____	2 <sup>nd</sup> : _____	VOTE: _____	Abstain: _____