

## 2017 Investigative Report

Total Open Issues 25

## As of 11/29/2017

Cases Open or Re-Opened 10

Charlene Northey 2

Faith Ottavi 8

Pending Board Hearing 6

Charlene Northey 2

Faith Ottavi 3

Donna Oklok 1

Compliance or Board Monitoring 4

Charlene Northey 2

Faith Ottavi 2

Compliance Action Pending 3

Charlene Northey 0

Faith Ottavi 3

Legal Case - Pending 0

Charlene Northey 0

Faith Ottavi 0

Field Calls 32

Charlene Northey 21

Faith Ottavi 11

Referred to Prosecutor 2

Charlene Northey 1

Faith Ottavi 1

## Cases Opened or Closed

Opened 80

Closed 59

## Respondent Types for 2017

Licensed 79

Unlicensed 1

Total 80

## ALLEGED ISSUES for 2017

Acct. &amp; Review Service Standards 7

Action by Another Accountancy Board 1

Conduct/Acts Discreditable to the Profession/License Holder 24

Felony Conviction 2

Firm Registration Licensing Violation 22

Firm Registration Statute Violation 11

Unlawful Advertising 1

Unlawful Practice 4

Fraud or Deceit in Obtaining an Ohio License 9

Confidential Client Information 0

Retention of Client Records 10

Suspension/Revocation of Right to Practice - Federal Agency 2

Tax Services Standards 7

Continuing Education Verification 9

Other 4

Total 113