

2017 Investigative Report

Total Open Issues 41

As of 7/10/2017

Cases Open or Re-Opened 18

Charlene Northey 11

Faith Ottavi 7

Pending Board Hearing 13

Charlene Northey 10

Faith Ottavi 2

Donna Oklok 1

Compliance or Board Monitoring 5

Charlene Northey 2

Faith Ottavi 3

Compliance Action Pending 4

Charlene Northey 2

Donna Oklok 1

Faith Ottavi 1

Legal Case - Pending 0

Charlene Northey 0

Faith Ottavi 0

Field Calls 20

Charlene Northey 16

Faith Ottavi 4

Referred to Prosecutor 1

Charlene Northey 1

Faith Ottavi 0

Cases Opened or Closed

Opened 54

Closed 25

Respondent Types for 2017

Licensed 53

Unlicensed 1

No Respondent 0

Total 54

ALLEGED ISSUES for 2017

Acct. & Review Service Standards 3

Conduct/Acts Discreditable to the Profession/License Holder 16

Firm Registration Licensing Violation 14

Firm Registration Statute Violation 7

Unlawful Advertising 1

Unlawful Practice 1

Fraud or Deceit in Obtaining an Ohio License 9

Confidential Client Information 0

Retention of Client Records 7

Tax Services Standards 8

Continuing Education Verification 9

Other 2

Total 77