

2017 Investigative Report

Total Open Issues 37

As of 10/13/2017

Cases Open or Re-Opened 19

Charlene Northey 14

Faith Ottavi 5

Pending Board Hearing 9

Charlene Northey 5

Faith Ottavi 3

Donna Oklok 1

Compliance or Board Monitoring 4

Charlene Northey 2

Faith Ottavi 2

Compliance Action Pending 3

Charlene Northey 2

Faith Ottavi 1

Legal Case - Pending 0

Charlene Northey 0

Faith Ottavi 0

Field Calls 29

Charlene Northey 19

Faith Ottavi 10

Referred to Prosecutor 2

Charlene Northey 1

Faith Ottavi 1

Cases Opened or Closed

Opened 67

Closed 42

Respondent Types for 2017

Licensed 66

Unlicensed 1

No Respondent 0

Total 67

ALLEGED ISSUES for 2017

Acct. & Review Service Standards 6

Action by Another Accountancy Board 1

Conduct/Acts Discreditable to the Profession/License Holder 20

Felony Conviction 1

Firm Registration Licensing Violation 17

Firm Registration Statute Violation 7

Unlawful Advertising 1

Unlawful Practice 4

Fraud or Deceit in Obtaining an Ohio License 9

Confidential Client Information 0

Retention of Client Records 10

Suspension/Revocation of Right to Practice - Federal Agency 1

Tax Services Standards 6

Continuing Education Verification 9

Other 4

Total 96