

## 2017-18 Investigative Report

Total Open Issues 33

## As of 02/01/2018

Cases Open or Re-Opened 18

Charlene Northey 11

Faith Ottavi 7

Pending Board Hearing 6

Charlene Northey 2

Faith Ottavi 4

Compliance or Board Monitoring 3

Charlene Northey 1

Faith Ottavi 2

Compliance Action Pending 2

Charlene Northey 0

Faith Ottavi 2

Legal Case - Pending 1

Charlene Northey 0

Donna Oklok 1

Faith Ottavi 0

Field Calls 43

Charlene Northey 25

Faith Ottavi 18

Referred to Prosecutor 3

Charlene Northey 3

Faith Ottavi 0

## Cases Opened or Closed

Opened 2017 (2018) 95 (7)

Closed 2017 (2018) 72 (3)

## Respondent Types for 2017-18

Licensed 101

Unlicensed 1

Total 102

## ALLEGED ISSUES for 2017

Acct. &amp; Review Service Standards 11

Action by Another Accountancy Board 1

Conduct/Acts Discreditable to the Profession/License Holder 29

Felony Conviction / Criminal Conviction 3

Firm Registration Licensing Violation 30

Firm Registration Statute Violation 12

Unlawful Advertising 2

Unlawful Practice 5

Fraud or Deceit in Obtaining an Ohio License 11

Confidential Client Information 0

Retention of Client Records 13

Suspension/Revocation of Right to Practice - Federal Agency 3

Tax Services Standards 8

Continuing Education Verification 11

Other 4

Total 143