

## 2021 Investigations Report

As of 9/10/2021

<b>Total Open Issues</b>		<b>38</b>
	Cases Open or Re-Opened	<b>7</b>
Charlene Nortey		2
Sarah Sarnowski		5
	Pending Board Hearing	<b>16</b>
Charlene Nortey		6
Sarah Sarnowski		10
	Compliance or Board Monitoring	<b>8</b>
Charlene Nortey		6
Sarah Sarnowski		2
Donna Oklok		3
	Compliance Action Pending	<b>6</b>
Charlene Nortey		5
Sarah Sarnowski		1
	Field Calls	<b>37</b>
Charlene Nortey		20
Sarah Sarnowski		7
Donna Oklok		10
	Referred to Prosecutor	<b>1</b>
<b>Cases Opened or Closed</b>		
Opened		291
Closed		491
<b>Respondent Types for 2021</b>		
Licensed		281
Unlicensed		10
	Total	<b>291</b>
<b>ALLEGED ISSUES for 2021</b>		
Action by Another Accountancy Board		0
Acts Discreditable to the Profession/License Holder		7
Felony Conviction / Criminal Conviction		0
Fraud or Deceit in Obtaining an Ohio License		3
Professional Conduct Rule Violation		
Acct. & Review Service Standards		3
Confidential Client Information		0
Continuing Education Verification		15
Failure to Obtain Proper License/Credential		11
Retention of Client Records		7
Tax Services Standards		12
Firm Registration Licensing Violation		23
Firm Registration Statute Violation		21
Suspension/Revocation of Right to Practice - Federal Agency		1
Unlawful Advertising		11
Unlawful Practice		15
Other		12
N/A - System Generated Compliance		216
	<b>Total</b>	<b>357</b>